

# Class 14.4 Rural tailgate inspection approved arrangement.

Version 3.0

**Approved Arrangements Program** 



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### **Acknowledgement of Country**

We acknowledge the Traditional Custodians of Australia and their continuing connection to land and sea, waters, environment and community. We pay our respects to the Traditional Custodians of the lands we live and work on, their culture, and their Elders past and present.

# Version control

Updates to this document will occur automatically on the department's website and the revision table below will list the amendments as they are approved.

Date	Version	Amendments	Approved by
22/02/2022	1.0	First release	Approved Arrangements Program
11/07/2022	2.0	Added:	Approved Arrangements Program
20/03/2023	3.0	Added:  • use of Biosecurity Portal for notifications in Tables 2, 3 and 4  • rural container record submissions using the Biosecurity Portal in Table 6  • biosecurity direction, container number, date and time in condition 5.1  Removed italics for terms defined in the glossary or Biosecurity Act 2015. Legislative acts remain italicised.  Updated:  • condition 5.1 to include applicable biosecurity direction and container number, date time record of release was created  • condition 4.4.1 to cease internal inspection  • email aa.canberra@awe.gov.au to aa.canberra@agriculture.gov.au.	Approved Arrangements Program

## **Contents**

Gui	de to using this document	V
	Definitions	V
	Other documents	vi
	Noncompliance guide	vi
	Key arrangement outcomes	vi
Оре	erating a class 14.4 approved arrangement	1
	Objective	1
	Scope	1
	Site requirements	2
	Information required when applying for class 14.4 approved arrangement	3
Con	ditions	4
	Table 1 Personnel	4
	Table 2 Prior to rural tailgate inspection	5
	Table 3 External inspection and cleaning of containers	8
	Table 4 Internal inspection of containers	. 11
	Table 5 Releasing goods subject to biosecurity control	. 13
	Table 6 Completing and submitting rural tailgate container inspection outcomes	. 14
	Table 7 Records	. 15
	Table 8 Ceasing operations	. 16
	Table 9 Compliance	. 17
Δnn	nendix Δ – class 14 4 overview	20

# Guide to using this document

This document sets out the conditions that must be met before the relevant Director will consider approval for the provision of biosecurity activities under section 406 of the Biosecurity Act 2015, otherwise known as an approved arrangement.

This document specifies the conditions to be met for the approval, operation, and audit of this class of approved arrangement. Compliance with the conditions will be assessed by audit.

In the event of any inconsistency between these conditions and any Import Permit condition, the Import Permit condition applies. If the applicant chooses to use automatic language translation services in connection with this document, it is done so at the applicant's risk.

Unless specified otherwise, any references to 'the department' or 'departmental' means the Department of Agriculture, Fisheries and Forestry.

Further information on approved arrangements, department contact details and copies of relevant approved arrangement documentation is available on the department's website: agriculture.gov.au.

### **Definitions**

Definitions that are not contained within the approved arrangements glossary can be found in the Biosecurity Act 2015, the relevant treatment methodology or the most recent edition of the Macquarie Dictionary. Further arrangement specific terms are defined in Table A

Table A – Terms and conditions

Term	Definition
External inspection	Activity conducted to inspect external surfaces of the container for the presence of biosecurity risk material.
Internal inspection	Activity conducted to inspect the internal of the container for the presence of biosecurity risk material. This involves opening the container door and inspecting internal areas of the container (including goods, packaging and dunnage) that are visible from the door for biosecurity risk material, including the presence of unacceptable packaging.
Container	Dry box, open top, ISO tankers and reefer containers that are subject to biosecurity control and rural tailgate inspection in accordance with this approved arrangement.
Dry box	Containers including non-operational reefers used to transport unstable goods, general goods and cargo.
Reefer	Temperature controlled refrigerated containers used for goods required to be hard frozen or refrigerated.
ISO tanker	Steel cylindrical containers mounted in a rigid steel framework used to carry bulk liquids or chemicals. Also known as tank containers, tanktainers, bulk liquid containers (BL) or ISOtainer.
Open top	Container with solid sides and no solid roof.
Dangerous goods	Substances or articles which present a potential work health and safety risk; for example, explosives, gases, flammable liquids, flammable solids, oxidising substances, toxic and infections substances, radioactive material, corrosives and other hazardous material
Diplomatic goods	Containers that are consigned to government representatives of diplomatic missions or consular posts

### Other documents

The Approved Arrangements General Policies should be read in conjunction with these conditions. They will assist in understanding and complying with the obligations and conditions for the establishment and operation of an approved arrangement.

### Noncompliance guide

The noncompliance classification against each condition is provided as a guide only. If more than one noncompliance is listed against a condition, the actual noncompliance applied will correspond to the gravity of the issue. The noncompliance recorded against any conditions remains at the discretion of the biosecurity officer.

Noncompliance classifications are detailed in the Approved Arrangements General Policies.

The 'reference: [number]' detailed in the noncompliance guide is for internal (departmental) use only.

### Key arrangement outcomes

Key arrangement outcomes are high level outcomes the biosecurity industry participant is responsible for meeting under an approved arrangement.

Each class condition for an approved arrangement is assigned a key arrangement outcome.

### Table B List of KAOs including their purpose and description

KAO	Purpose
Containment	Goods subject to biosecurity control are contained in a way that prevents them, or any biosecurity risk material escaping into the environment.
Isolation	Goods subject to biosecurity control are isolated from other goods in a manner that prevents cross-contamination or cross-infestation.
Security	Controls are in place that prevent unauthorised access to goods subject to biosecurity control.
Identification	Goods subject to biosecurity control and areas in which biosecurity activities are carried out must be visually identifiable as such.
Traceability	Goods that are or were, subject to biosecurity control, are linked to records of the origin and movement of the goods and the biosecurity activities carried out in relation to the goods.
Hygiene	Approved arrangement sites are maintained in a state that minimises opportunity for, and susceptibility to pest, weed and disease establishment and/or infestation.
Movement	Goods subject to biosecurity control only move beyond the site in accordance with departmental conditions and any required departmental authorisation.
Release	Goods and their derivatives subject to biosecurity control are dealt with as such until they are formally released from biosecurity control, or they are exported or destroyed.
Awareness	People performing biosecurity activities involving goods subject to biosecurity control have the knowledge and capability to carry out those activities in accordance with the conditions of the approved arrangement.
Inspection	The site has the equipment, facilities and processes that enable inspection of goods subject to biosecurity control.

### Class 14.4 Rural tailgate inspections approved arrangement.

Treatment	The biosecurity industry participant has the processes and/or equipment and facilities to perform treatments of goods subject to biosecurity control in accordance with the conditions of the approved arrangement.
Arrangement compliance	The biosecurity industry participant is required to carry out biosecurity activities in accordance with the approved arrangement.
Notification	The department is advised of any event or circumstance for which it has specified that notification must be provided.
Supporting functions	Procedures, facilities, and equipment are in place for the biosecurity activities carried out under the approved arrangement.

# Operating a class 14.4 approved arrangement

### **Objective**

Class 14.4 approved arrangement authorises the biosecurity industry participant to perform rural tailgate inspections to manage biosecurity risks associated with imported containers subject to biosecurity control.

### Scope

Rural tailgate inspections performed under class 14.4 approved arrangement are limited to dry box, reefer, ISO tanker and open top containers:

- intended for delivery to a location that is within a postcode classified by the department as a rural area
- imported with compliant commodity documentation, where specified in biosecurity import conditions applicable to the goods within the container
- imported with compliant non-commodity documentation
- requiring rural tailgate inspection to address rural concerns only.

Containers that are out of scope of class 14.4 include containers subject to commodity verification as part of the rural tailgate inspection (in accordance with import conditions) or that require rural tailgate inspection prior to fumigation or commodity inspection.

Biosecurity industry participants approved for class 14.4 are authorised to perform the following biosecurity activities in accordance with these conditions at their approved arrangement site:

- perform rural tailgate inspections, comprising of an external inspection and internal inspection on containers subject to biosecurity control that have been issued a biosecurity direction by the department under the Biosecurity Act 2015 for:
  - o Approved Arrangement AA rural tailgate inspection, or
  - O Approved Arrangement AA rural tailgate Ext. inspection
- undertake treatment activities limited to removal, cleaning, washing to manage certain biosecurity risk material detected during inspection and dispose of this material using a department approved method. Performance of any other treatment will require approval from the department (e.g., approval of an additional approved arrangement class)
- inspection of containers to verify that biosecurity risk material detected during external inspection has been removed and that the external of the container is free of biosecurity risk material
- release containers from biosecurity control, in accordance with the conditions of the approved arrangement.

Containers moving to and being unpacked in rural areas present a higher biosecurity risk as rural areas are typically more conducive to the establishment and spread of pests and diseases. Examples of biosecurity risks associated with imported containers include:

- contamination of soil or plant material in or on goods, packaging material or containers e.g., soil
  can contain seeds of exotic plants or weeds, plant diseases, insect and insect eggs, and soilborne animal diseases such as Foot and Mouth disease
- infestation of invertebrates in or on the goods, packaging material or containers e.g., beetles, thrips, larvae, mites, flies, caterpillars, snails, and slugs,
- animals such as reptiles, birds, mice, and cats that can inadvertently travel in containers
- unacceptable packaging material such as bark on timber dunnage, used fruit vegetable or cut flower cartons, plant material used as packing such as peat moss, straw, buckwheat hulls or bamboo (excluding bamboo laminate or veneer)
- other contamination such as water can contain mosquito larvae and algae plant diseases associated with plant-based goods and packaging e.g., Karnal bunt of wheat, citrus greening, leaf blight

Information on biosecurity import conditions and biosecurity risks for imported goods, containers, other cargo and arriving vessels is available on the department's website.

### Site requirements

The approved arrangement site where a rural tailgate inspection is performed under this arrangement must:

- be located within the metropolitan area of a first point of entry for goods where a permanently based biosecurity officer is stationed
- be operated by the biosecurity industry participant performing the rural tailgate inspection
- hold approval as either:
  - class 1.1: Sea and air freight depot (unrestricted) with class 4.3 cleaning and have a
    wash bay that is a minimum size of 16 metres by 5 metres, or
  - class 1.3: Sea and air freight depot (restricted) with facilities to allow rural tailgate inspection to be performed by the department and class 4.3 – cleaning and have a wash bay that is a minimum size of 16 metres by 5 metres

#### Notes:

- Approved arrangement sites approved for rural tailgate inspection performed by the department must have and maintain the following equipment, which is required to ensure work health and safety of biosecurity officers performing these inspections:
  - A sea container inspection stand that is inspected and certified in accordance with the specifications for sea freight container inspection stands available on the department's website.
  - A raised container inspection platform where an internal inspection is conducted while the container is in a sea freight container inspection stand or on the transport vehicle.
- The biosecurity industry participant may utilise the above equipment, for the purpose of conducting the rural tailgate inspections and any cleaning activities. Alternatively, support structures which have not been approved by the department may be used in accordance with the biosecurity industry participant's workplace health and safety practices and obligations.
- Where a biosecurity officer conducts a rural tailgate inspection of a container that is not within scope of this approved arrangement or conducts verification of a biosecurity industry participant's rural tailgate inspection, this is required to be undertaken using a container inspection stand certified by the department.

• A wash bay that is a minimum size of 16 metres by 5 metres is required to accommodate washing of 40-foot containers.

# Information required when applying for class 14.4 approved arrangement

Applications for class 14.4—rural tailgate inspections must already comply with the above site requirements or seek to comply with the above site requirements at the time the application is submitted to the department.

### **Conditions**

### **Table 1 Personnel**

- Accreditation training requirements are available on the department's website.
- The definition of directly supervise is contained in the Approved arrangements glossary.
- Capability includes accredited persons having the understanding/skill/ability to deal with the biosecurity risks associated with their role/function.
- Personnel includes employees and others working (paid or unpaid) for the biosecurity industry participant.
- Accredited person records can be:
  - copies of training certificates
  - register containing the information required in the Records conditions table.

KAO	Condition	Nonco	mpliance guide
Awareness	1.1 The biosecurity industry participant must ensure that persons having	Major	
	physical access to goods subject to biosecurity control are aware that such		Reference: 3008
	items must only be handled by an accredited person or under the direct		
	supervision of an accredited person.		
Awareness	1.2 An accredited person must personally conduct or directly supervise	Major	
	biosecurity activities involving physical contact with, handling or treatment		Reference: 3988
	of goods subject to biosecurity control.		
Awareness	1.3 Accredited persons must have successfully completed accreditation	Major	
	training for the relevant approved arrangement class as specified on the		Reference: 3003
	department's website.		
Awareness	1.4 Accredited persons must be able to demonstrate an understanding of	Major	
	conditions applicable to the biosecurity activities performed under this		Reference: 3989
	approved arrangement.		
Awareness	1.5 Persons having physical access to goods subject to biosecurity control	Major	
	must be able to differentiate between goods subject to biosecurity control		Reference: 4347
	and goods that are not subject to biosecurity control.		
Awareness	1.6 Arrangements must be in place to ensure persons handling goods	Major	
	subject to biosecurity control (including goods owned and handled for other		Reference: 3532
	parties) are aware of the biosecurity conditions that apply to that handling,		
	as prescribed in the conditions for this arrangement, as well as conditions		
	that may be prescribed in any import permit or biosecurity direction		
	relevant to the goods.		
Traceability	1.7 Records must be maintained of accredited persons.	Minor	
			Reference: 3004

### **Table 2 Prior to rural tailgate inspection**

### Information

- Appropriate equipment is needed to secure and contain any biosecurity risk material that may
  be detected during rural tailgate inspection. The biosecurity industry participant needs to
  consider the type, size and quantity of equipment that is available to contain biosecurity risk
  material
- Summary of biosecurity directions specific to class 14.4 approved arrangement:

Biosecurity direction title	Container types	Required inspection activities
Approved Arrangement – AA Rural	Dry box	External inspection
Tailgate Inspection	Open top	Internal inspection – except where
	Reefers that are not hard frozen	the class 14.4 biosecurity industry participant detects dangerous goods
Approved Arrangement – AA Rural	ISO tankers	External inspection only
Tailgate Ext. Inspection	Reefers that are hard frozen	
	Containers with diplomatic goods	
	Containers with dangerous goods	

• Further information regarding class 14.4 biosecurity activities is provided in <u>Appendix A – class</u> 14.4 overview.

KAO	Condition	Noncompliance guide
	2.1. Where to conduct rural tailgate inspections	
Isolation	2.1.1 External inspections, internal inspections and the inspection of containers completed following any cleaning must be conducted within a biosecurity area.	Major or critical Reference: 4966
	2.2. Required equipment	
Inspection	2.2.1 The following equipment must be located in the immediate area to where rural tailgate inspections are performed under this approved arrangement:	Major Reference: 4967
	a. knockdown spray	
	<ul> <li>equipment to enable effective inspection of containers in accordance with the inspection conditions of this approved arrangement (e.g., torch, ladder, extendable mirrors)</li> </ul>	
	c. equipment to remove, secure and dispose of contamination in accordance with the conditions of the approved arrangement (e.g., scrapers, bags, dustpan and brush, biosecurity waste container)	
	d. specimen jars, plastic bags or vials for invertebrate collection.	
	2.3. Verifying the biosecurity direction and container	
Arrangement compliance	2.3.1 Before starting the rural tailgate inspection the biosecurity industry participant must be in possession of a biosecurity direction issued by the department that authorises rural tailgate inspection by the biosecurity industry participant under this approved arrangement	Critical Reference: 4968
	Note: Biosecurity directions authorising rural tailgate inspection by a biosecurity industry participant are only issued by the department to approved arrangement sites that hold approval for class 14.4.	

KAO	Condition	Noncompliance guide
Arrangement compliance	2.3.2 Before starting the rural tailgate inspection the biosecurity direction for the container must be assessed to determine:	Major Reference: 4969
	that the biosecurity direction authorises a rural tailgate inspection     by the biosecurity industry participant under this approved     arrangement	
	b. that the biosecurity direction issued is (titled) either:	
	i. Approved Arrangement – AA Rural Tailgate Inspection or	
	ii. Approved Arrangement – AA Rural Tailgate Ext. Inspection.	
Arrangement compliance	2.3.3 Before starting the rural tailgate inspection the container must be physically inspected to confirm all the following:	Major Reference: 4970
	<ul> <li>a. the container number matches the container number listed on the biosecurity direction authorising rural tailgate inspection by the biosecurity industry participant under this approved arrangement</li> </ul>	
	b. the container type is within the scope of this approved arrangement i.e., dry box, open top, ISO tanker or reefer	
	<ul> <li>whether dangerous goods signage is fixed to the external of the container, which if present indicates dangerous goods within the container.</li> </ul>	
	Note: Consignment documentation (packing list, bill of lading, invoice) for the container can also be assessed to determine if the container is carrying dangerous goods.	
	2.4. Managing discrepancies with the biosecurity direction and/or container	
Arrangement compliance	2.4.1 The rural tailgate inspection must not be performed and the relevant container must be secured in a biosecurity area where one or more of the following is detected:	Major or critical Reference: 4971
	<ul> <li>a. the biosecurity industry participant is not in possession of at least one of the following biosecurity directions that authorises rural tailgate inspection of the relevant container by the biosecurity industry participant under this approved arrangement:</li> </ul>	
	i. Approved Arrangement – AA Rural Tailgate Inspection	
	or	
	ii. Approved Arrangement – AA Rural Tailgate Ext. Inspection	
	b. the container type is not within scope of this approved arrangement i.e., the container type is not a dry box, open top, ISO tanker or reefer	
	<ul> <li>the container number does not match the container number listed on the biosecurity direction that authorises a rural tailgate inspection by the biosecurity industry participant under this approved arrangement.</li> </ul>	
	Note: Verify the correct container has been presented and/or the correct biosecurity direction is obtained for the container.	
Notification	2.4.2 The department must be notified, by either contacting the biosecurity officer located onsite (if applicable), phoning 1800 900 090 or using the Biosecurity Portal, where one or more of the following is detected:	Major Reference: 4972
	<ul> <li>a. the container number does not match the container number listed on the biosecurity direction that authorises a rural tailgate inspection by the biosecurity industry participant under this approved arrangement.</li> </ul>	
	Note:  • Verify the correct container has been presented and/or the	
	<ul> <li>correct biosecurity direction is obtained for the container.</li> <li>The importer or broker can be contacted in the first instance to</li> </ul>	
	obtain a copy of the biosecurity direction.  b. the container type is not within scope of this approved arrangement	
	i.e., the container type is not a dry box, open top, ISO tanker or reefer.	

Class 14.4 Rural tailgate inspections approved arrangement.

Arrangement	
Arrangement	
compliance	Major or critical Reference: 4973
Arrangement compliance	Major or critical Reference: 4974
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# **Table 3 External inspection and cleaning of containers Information**

- Containers with contamination that is not easily accessible or where the type or volume of
  contamination is such that it cannot be readily removed require cleaning within the onsite class
  4.3 wash bay.
- This approved arrangement authorises the biosecurity industry participant to remove contamination by washing or steam cleaning without a biosecurity direction for cleaning being issued by the department.
- Approved arrangement conditions for class 4.3 apply to the cleaning of containers within the wash bay.
- A variety of methods may be adopted to contain or secure animals detected on external surfaces of the container or escaped from the container. Consideration should be given to work, health and safety and the potential risk of injury to persons attempting to contain animals through physical capture. For animals like cats that could cause significant harm from a bite or scratch, alternative methods to contain the animal may include securing the animal in a building. Constant visual monitoring for other animals like snakes may provide effective means for containment pending a departmental response.

KAO	Condition	Noncompliance guide
	3.1. External inspection process	
Inspection	3.1.1 The following external surfaces of the container must be physically	Major or critical
	inspected for the presence of biosecurity risk material:	Reference: 4975
	a. side walls	
	b. the underside of the container	
	c. along the edges and lips	
	d. in and around the twist lock holes	
	e. in and around the forklift tine holes	
	f. for containers with doors this includes the door seals	
	<li>g. for ISO tankers this includes external surfaces of the cylinder and steel frame</li>	
	h. for reefers this includes the engine, compressor area and around the	
	power leads.	
Inspection	3.1.2 Where the underside of the container cannot be inspected (e.g.,	Minor or major
	container is transported on a flatbed trailer) the container must be	Reference: 4976
	repositioned and all surfaces of the underside of the container must be	
	inspected for the presence of biosecurity risk material.	
	Note: Repositioning may require lifting or relocating the container onto a	
	container inspection stand or support structure within a biosecurity area.	
Inspection	3.1.3 Where biosecurity risk material is detected during the external	Major or critical
	inspection, the topside of the container must be immediately inspected for	Reference: 4977
	the presence of biosecurity risk material.	
	Note: This does not apply to open top containers.	
	3.2. Managing animals (live or dead) and live invertebrates detected on	
	external container surfaces	
Containment	3.2.1 Where live or dead animals are detected on external surfaces of the	Major or critical
	container during the external inspection, the animal must be immediately	Reference: 4978
	contained by:	
	a. capturing and containing the animal in a cage or receptacle, if safe	
	and possible to do so, or	
	b. containing the animal in a building or other structure at the approved	
	arrangement site, or	
	c. if it is not safe or possible to attempt to contain the animal or if	
	attempting to contain the animal may lead to it escaping, maintain	
	constant observation of the location that the animal escaped into	
	pending further advice by the department.	

KAO	Condition	Noncompliance guide
Containment	<ul> <li>3.2.2 Where live invertebrates are detected on or have left external surfaces of the container during the external inspection, the live invertebrates must be immediately contained by:</li> <li>a. applying knockdown spray directly to the invertebrates immediately to prevent its escape</li> <li>b. collecting the invertebrates following the application of knockdown spray and secure them in a sealed specimen jar, bag or vial at the approved arrangement site pending further advice by the</li> </ul>	Major or critical Reference: 4979
Containment	department.  3.2.3 Where live or dead animals or live invertebrates are detected on external surfaces of the container, the:  a. external inspection must cease  b. container must be secured in the biosecurity area at the approved arrangement site pending further advice by the department.	Major Reference: 4980
Notification	3.2.4 The department must be notified immediately, by either contacting the biosecurity officer located onsite (if applicable), phoning 1800 900 090 or using the Biosecurity Portal, where live or dead animals or live invertebrates are detected on external surfaces of the container.  3.3. Managing contamination detected on external container surfaces	Major Reference: 4981
Containment	3.3.1 Where contamination is detected to have fallen from the container during the external inspection, the contamination must be immediately:  a. cleaned up  b. disposed of in a biosecurity waste container.	Major Reference: 4982
Treatment	3.3.2 Where contamination is detected on external surfaces of the container and the contamination can be removed at the time of external inspection without washing or steam cleaning, the contamination must be immediately:  a. removed from the container  b. disposed of in a biosecurity waste container.	Major Reference: 4983
Treatment	<ul> <li>3.3.3 Where:</li> <li>a. contamination is detected on external surfaces of the container, and</li> <li>b. washing or steam cleaning is required to remove the contamination from the container, and</li> <li>c. the container is subject to an internal inspection, then</li> <li>the container must:</li> <li>d. undergo internal inspection in accordance with the conditions of this approved arrangement, then</li> <li>e. be immediately moved to a wash bay that is: <ol> <li>i. located at the approved arrangement site where external inspection is performed, and</li> <li>ii. approved by the department under class 4.3 – cleaning and then</li> <li>f. be cleaned to remove all contamination from the container.</li> </ol> </li> </ul>	Major or critical Reference: 4984
Treatment	<ul> <li>3.3.4 Where:</li> <li>a. contamination is detected on external surfaces of the container, and</li> <li>b. washing or steam cleaning is required to remove the contamination from the container, and</li> <li>c. the container is subject to external inspection only, then</li> <li>the container must be:</li> <li>d. immediately moved to a wash bay that is: <ol> <li>i. located at the approved arrangement site where external inspection is performed, and</li> <li>ii. approved by the department under class 4.3 – cleaning and then</li> <li>e. cleaned to remove all contamination from the container.</li> </ol> </li> </ul>	Major or critical Reference: 4985
Isolation	3.3.5 Where the container cannot be moved to the class 4.3 wash bay immediately following the external inspection or internal inspection (where performed) the container must:	Major or critical Reference: 4986

Class 14.4 Rural tailgate inspections approved arrangement.

KAO	Condition	Noncompliance guide
	<ul> <li>a. be immediately moved to a biosecurity area that is located under cover or drains directly to municipal sewer</li> <li>b. not leave that biosecurity area unless being moved to the class 4.3 wash bay for cleaning.</li> </ul>	
Containment	3.3.6 The container must be secured at the approved arrangement site where contamination is detected on external surfaces of the container which cannot be removed and managed at time of the external inspection or by washing or steam cleaning at the approved arrangement site.	Major Reference: 4989
Notification	3.3.7 The department must be notified immediately, by either contacting the biosecurity officer located onsite (if applicable), phoning 1800 900 090 or using the Biosecurity Portal, where contamination is detected on external surfaces which cannot be removed and managed at time of the external inspection or by washing or steam cleaning at the approved arrangement site.	Major Reference: 4990
	3.4. Reinspection following cleaning	
Inspection	<ul> <li>3.4.1 Once cleaning is complete, the container must:</li> <li>a. be inspected to verify that all contamination has been removed from external surfaces of the container</li> <li>b. if contamination is still present on the container, undergo further: <ul> <li>i. cleaning until all contamination has been removed from the container, and</li> <li>ii. inspection to verify all contamination has been removed.</li> </ul> </li> <li>Note: Repeat cleaning and inspection as necessary to ensure all contamination is removed.</li> </ul>	Major or critical Reference: 4987
	3.5. Releasing containers from biosecurity control that require external inspection only	
Arrangement compliance	3.5.1 Once the external inspection or inspection following cleaning confirms that all contamination has been removed from external surfaces of the container, the following containers must be released from biosecurity control (without internal inspection) in accordance with the conditions for releasing goods from biosecurity control:  a. containers carrying dangerous goods  b. containers subject to a biosecurity direction specifying: Approved	Major Reference: 4988

### **Table 4 Internal inspection of containers**

- Internal inspection applies to any container with doors (i.e., dry box and open top), excluding:
  - containers carrying dangerous goods or
  - containers subject to a biosecurity direction specifying Approved Arrangement AA Rural Tailgate Ext. Inspection – applicable to hard frozen reefers and containers carrying diplomatic goods.

KAO	Condition	Noncompliance guide
	4.1. Internal inspection process	
Inspection	<ul> <li>4.1.1 On opening the container doors, the following internal areas of the container that are visible from the doors must be inspected for the presence of biosecurity risk material, including unacceptable packaging:</li> <li>a. internal surfaces of the container, including doors, door seals, floor, walls, and ceiling</li> <li>b. free air space</li> <li>c. external surfaces of the goods within the container</li> </ul>	Major or critical Reference: 4992
	d. surfaces of packaging material within the container.  4.2. Managing animals and invertebrates detected in or have left the	
	container	
Containment	4.2.1 Where live or dead animals, invertebrates or evidence of animal or invertebrate activity are detected in the container, at the time the container is opened or during the internal inspection, the container doors must be immediately closed.	Major or critical Reference: 4993
Containment	<ul> <li>4.2.2 Where live or dead animals are detected to have left the container at the time the container is opened or during internal inspection, the animal must be immediately contained by:</li> <li>a. capturing and containing the animal in a cage or receptacle, if safe and possible to do so, or</li> <li>b. containing the animal in a building or other structure at the approved arrangement site, or</li> <li>c. if it is not safe or possible to attempt to contain the animal or if attempting to contain the animal may lead to it escaping, maintain constant observation of the location that the animal escaped into pending further advice by the department</li> </ul>	Major or critical Reference: 4994
Containment	4.2.3 Where live invertebrates are detected to have left the container at the time the container is opened or during the internal inspection, the live invertebrates must be immediately contained by:  a. applying knockdown spray directly to the invertebrates immediately to prevent its escape  b. collecting the invertebrates following the application of knockdown spray and secure them in a sealed specimen jar, plastic bag or vial at the approved arrangement site.	Major or critical Reference: 4995
	4.3. Managing contamination that has left the container	
Containment	<ul> <li>4.3.1 Where contamination is detected to have left the container during the internal inspection, the contamination must be immediately:</li> <li>a. cleaned up</li> <li>b. disposed of in a biosecurity waste container.</li> </ul>	Major Reference: 4996
Contain	4.4. Securing the container and notifying the department	NASIS SERVICES
Containment	<ul> <li>4.4.1 Internal inspection must cease and the container doors must be closed immediately where one or more of the following is detected during the internal inspection:</li> <li>a. contamination</li> <li>b. live or dead animals including evidence of animal activity (e.g., animal droppings)</li> <li>c. invertebrates including evidence of invertebrate activity (e.g., frass)</li> </ul>	Major or critical Reference: 4997
	<ul> <li>c. invertebrates including evidence of invertebrate activity (e.g., frass)</li> <li>d. unacceptable packaging</li> <li>e. any other biosecurity risk material.</li> </ul>	

Class 14.4 Rural tailgate inspections approved arrangement.

Notification	4.4.2	2 The department must be notified immediately, by either contacting	Major	
	the l	piosecurity officer located onsite (if applicable), phoning 1800 900 090		Reference: 4998
	or us	sing the Biosecurity Portal, where one or more of the following is		
	dete	cted during the internal inspection:		
	a.	contamination		
	b.	live or dead animals including evidence of animal activity (e.g., animal droppings)		
	c.	invertebrates including evidence of invertebrate activity (e.g., frass)		
	d.	unacceptable packaging		
	e.	any other biosecurity risk material.		

# **Table 5 Releasing goods subject to biosecurity control Information**

- The biosecurity industry participant is authorised to release the goods (container) from biosecurity control that has been inspected and determined to be free of biosecurity risk material. The conditions prescribed below outline when and how to affect that release.
- Records created by the biosecurity industry participant declaring the container subject to
  biosecurity control have been inspected (and where required cleaned) in accordance with this
  arrangement are required to be kept in accordance with record keeping requirements for this
  approved arrangement.
- Records declaring goods subject to biosecurity control have been inspected and determined to be free of biosecurity risk material in accordance with this approved arrangement provides the:
  - release from biosecurity control under the Biosecurity Act 2015
  - biosecurity industry participant the permission for the container to leave the biosecurity area and the approved arrangement site.

KAO	Condition	Noncompliance guide
	5.1. Creating a record of the release from biosecurity control	
Release	5.1.1 Goods subject to biosecurity control must only be released from	Critical
	biosecurity control by the biosecurity industry participant after:	Reference: 5000
	a. they have been inspected in accordance with the conditions of this	
	approved arrangement, and	
	b. a record of the release from biosecurity control has been created by	
	the biosecurity industry participant that:	
	i. details the biosecurity direction number applicable to the	
	container	
	ii. details the container number	
	iii. declares the: 'container has been inspected and determined	
	to be free of biosecurity risk material, in accordance with this	
	approved arrangement'	
	iv. details the date and time the record was created.	
	5.2. Containers leaving the approved arrangement site	
Release	5.2.1 The container must not leave the approved arrangement site unless it:	Critical
	a. has been released from biosecurity control by the biosecurity industry	Reference: 5001
	participant, or	
	b. is moving in accordance with a biosecurity direction or approval from	
	the department.	

# Table 6 Completing and submitting rural tailgate container inspection outcomes

- There are 2 options for submitting rural tailgate container inspection outcomes to the department:
  - Option 1: Login to the Biosecurity Portal and submit class 14.4 inspection outcomes.
  - Option 2: Complete the PDF form of the <u>Rural tailgate container inspection record</u> and email the completed record to <u>AAOutcome.Automation@agriculture.gov.au</u>.
    - One form per container is required to be completed and submitted to the department.
    - AAOutcome.Automation@agriculture.gov.au is a dedicated email account inbox setup only to receive and process the Rural tailgate container inspection record. Content, other than this record will not be actioned nor responded to.
- The purpose of the record is to communicate the results (data) for container inspection activities to the department, for review and analysis.

КАО	Condition	Noncompliance guide
	6.1. Completing the Rural tailgate container inspection record	
Arrangement	6.1.1 A record of the outcome of all rural tailgate inspections performed in	Major
compliance	accordance with this arrangement must be completed using the Biosecurity	Reference: 5002
	Portal or by email using the PDF form	
	6.2. Submitting the completed Rural tailgate container inspection record	
	to the department	
Arrangement	6.2.1 Completed records of the outcomes of all rural tailgate inspections	Major
compliance	performed in accordance with this arrangement must be submitted to the	Reference: 5003
	department using the Biosecurity Portal or by email using the PDF form	
	within 2 business days from when the container was either:	
	a. released from biosecurity control by the biosecurity industry	
	participant, or	
	b. referred to the department in accordance with the conditions of this	
	approved arrangement.	

### **Table 7 Records**

- Records and systems maintained by the biosecurity industry participant must be able to track
  goods subject to biosecurity control through the stages of the biosecurity goods pathway they
  are responsible for. This includes receipt, handling, treatment, disposal, and release from
  biosecurity control.
- There must be two-way traceability, from the:
  - records to the physical goods
  - physical goods to the records.

KAO	Condition	Noncompliance guide
	7.1. Information management	
Traceability	7.1.1 The biosecurity industry participant must ensure records are kept for a	Minor or major
	minimum of two years for goods subject to biosecurity control.	Reference: 4004
Traceability	7.1.2 Records must be made available to the department upon request.	Minor or major
,		Reference: 3944
	7.2. Container records	
Traceability	7.2.1 Records of the external inspection must include the following:	Minor or major
Traceability		Reference: 4991
	a. biosecurity direction b. container number	Reference: 4991
	c. container type, whether dry box, open top, ISO tanker or reefer	
	d. date and time the external inspection was completed	
	e. name of the accredited person who completed or directly supervised	
	the external inspection	
	f. if the container is carrying dangerous goods	
	g. if biosecurity risk material was detected or not detected on the	
	container	
	h. if biosecurity risk material is detected, detail:	
	i. a description of the biosecurity risk material	
	ii. the location on the container where biosecurity risk material	
	was detected	
	iii. the actions taken to contain animals or invertebrates	
	iv. the actions taken to manage contamination, at the time of	
	external inspection	
	v. details of cleaning and removal of contamination within the	
	wash bay including the:	
	date and time the cleaning was completed	
	<ul> <li>accredited person who conducted or directly supervised the cleaning</li> </ul>	
	vi. details of the inspection following cleaning where the	
	container is verified as having all contamination removed	
	including:	
	<ul> <li>date and time the inspection was completed</li> </ul>	
	<ul> <li>accredited person who conducted or directly supervised</li> </ul>	
	the inspection	
	i. details of notifications to the department, including:	
	i. a copy of the notification	
	ii. the date and time of the notification	
	iii. the reason for the notification, and	
	iv. the name of the person that notified the department.	
Traceability	7.2.2 Records of the internal inspection must include:	
	a. biosecurity direction	
	b. container number	
	c. container type, whether dry box or open top	
	d. date and time the internal inspection was performed	
	e. name of the accredited person who performed or directly supervised	
	the internal inspection.	
	f. if biosecurity risk material was detected or not detected on or in the container	
	g. If biosecurity risk material is detected, detail:	

Class 14.4 Rural tailgate inspections approved arrangement.

	i. a description of the biosecurity risk material, including	
	unacceptable packaging	
	ii. the location in or on the container or surfaces of the goods	
	where biosecurity risk material was detected	
	iii. the actions taken to contain animals or invertebrates	
	iv. the actions taken to manage contamination that has left the container	
	h. details of notifications to the department, including:	
	i. a copy of the notification	
	ii. the date and time of the notification	
	iii. the reason for the notification, and	
	iv. the name of the person that notified the department.	
Tracachility		
Traceability	7.2.3 Records for each container subject to rural tailgate inspection under	
	the conditions of this approved arrangement must include:	
	a. biosecurity direction	a. Major
	b. external inspection results	b. Major
	c. internal inspection results – applicable to containers subject to	c. Major
	internal inspection	d. Major
	d. the completed departmental Rural tailgate container inspection	e. Major
	record	f. Major
	e. submission of the completed Rural tailgate container inspection	Reference: 5004
	record to the department	
	f. the release from biosecurity control created by the biosecurity	
	industry participant.	
	7.3. Accredited persons records	
Traceability	7.3.1 Accredited persons records must include:	Major
	a. name of accredited person	Reference: 4275
	b. date accreditation training completed	
	c. method of accreditation training (online or in-house)	
	d. copy of online training accreditation certificate – if applicable	
	e. copy of in-house training attendance record – if applicable.	

### **Table 8 Ceasing operations**

### Information

 The biosecurity industry participant is liable for all costs associated with closing and ceasing operations as an approved arrangement site.

КАО	Condition	Noncompliance guide
Notification	8.1 The department must be notified, by email to	Major
	aa.canberra@agriculture.gov.au, at least 15 working days prior to intended:	Reference: 3699
	a. closure of a current approved arrangement site	
	b. relocation of the business, including the approved arrangement class function	
	c. ceasing of operation as an approved arrangement site.	
Treatment	8.2 Any goods subject to biosecurity control that remain at the approved	Major
	arrangement site must be:	Reference: 4516
	a. treated or destroyed in accordance with a department approved method	
	or	
	b. transferred to another approved arrangement site with prior approval from the department.	

### **Table 9 Compliance**

### Information

- Release from biosecurity control includes release by a biosecurity industry participant subject to s162 Biosecurity Act 2015 only if expressly authorised in the approved arrangement and in accordance with the conditions of the approved arrangement.
- Required treatments will be advised on import permits, directions, class conditions, nonstandard conditions (variations), process management systems (PMS) and standard operating procedures (SOPs).
- Those events to be notified are advised on import permits, directions, class conditions, non-standard conditions (variations), PMS and SOPs.
- Information on reporting biosecurity incidents is available on the department's website.

**Note:** SOPs are only required in those classes where there is a specific condition for a SOP to be in place.

KAO	Condition	Noncompliance guide
Arrangement	9.1 The biosecurity industry participant must:	Major or critical
compliance	a. carry out the biosecurity activities in accordance with the	Reference: 4316
	arrangement	
	b. comply with any conditions specified in the arrangement	
	c. comply with any requirements to which the arrangement is subject d. comply with any biosecurity direction from the department	
	<ul> <li>d. comply with any biosecurity direction from the department</li> <li>e. comply with any biosecurity control notice from the department</li> </ul>	
	f. comply with any biosecurity control order from the department.	
Arrangement	9.2 Goods subject to biosecurity control must be maintained and processed	Major or critical
compliance	in accordance with the conditions of the relevant approved arrangement	Reference: 2992
compliance	class.	
Arrangement	9.3 Goods subject to biosecurity control must be maintained and processed	Minor or major or
compliance	at an approved arrangement site appropriate for the biosecurity risk	critical
•	associated with the goods.	Reference: 3535
Arrangement	9.4 Goods subject to biosecurity control must be maintained and processed	Minor or major or
compliance	in accordance with import conditions specified in the department's	critical
·	Biosecurity Import Conditions Database (BICON).	Reference: 2993
Arrangement	9.5 Goods subject to biosecurity control must be maintained and processed	Major or critical
compliance	in accordance with any applicable import permit.	Reference: 3536
Arrangement	9.6 Goods subject to biosecurity control must be maintained and processed	Minor or major or
compliance	in accordance with any biosecurity direction from the department.	critical
		Reference: 2995
Arrangement	9.7 Goods subject to biosecurity control must be handled and maintained	Minor or major or
compliance	and processed in accordance with the Biosecurity Act 2015 and subordinate	critical
pae	legislation.	Reference: 2996
Notification	9.8 The department must be notified immediately following detection of	Major or critical
	any of the following:	Reference: 4517
	a. goods subject to biosecurity control have left the approved	
	arrangement site, inadvertently or deliberately, without prior written	
	direction or approval from the department	
	b. goods subject to biosecurity control were not maintained and	
	processed in accordance with the conditions of the relevant approved	
	arrangement class	
	c. goods subject to biosecurity control were not maintained processed	
	in accordance with import conditions specified in the department's Biosecurity Import Conditions Database (BICON) and/or import	
	permit	
	d. goods subject to biosecurity control were not maintained and	
	processed in accordance with any other direction from the	
	department	
	e. goods subject to biosecurity control were not maintained and	
	processed in accordance with the Biosecurity Act 2015 and	
	subordinate legislation.	

KAO	Condition	Noncompliance guide
	<ul> <li>f. the approved arrangement site is not appropriate to manage the biosecurity risk associated with the goods subject to biosecurity control.</li> </ul>	
Notification	9.9 The department must be notified of any reportable biosecurity incident as soon as practicable, in accordance with the determination made by the Director of Biosecurity.	Major Reference: 3015
Arrangement compliance	9.10 Departmental officers and department approved auditors, must be provided access to the approved arrangement site to perform the functions and exercise the powers conferred on them by the Biosecurity Act or another law of the Commonwealth.	Critical Reference: 3013
Arrangement compliance	9.11 Biosecurity officers must be provided with all reasonable facilities, assistance, and information necessary for the effective performance of their functions or duties or the exercise of their powers under the Biosecurity Act. Note: Assistance includes but is not limited to moving, lifting and sampling goods subject to biosecurity control, as directed by a biosecurity officer to enable inspection or other biosecurity activities to be performed.	Major or critical Reference: 4518
Arrangement compliance	<ul><li>9.12 Information (verbal, electronic or hard copy) provided to the department must be accurate.</li><li>Note: Civil, criminal, and regulatory penalties apply to giving false or misleading information.</li></ul>	Major or critical Reference: 4519
Arrangement compliance	9.13 Department approved auditors must be permitted to collect evidence of compliance and noncompliance with approved arrangement conditions through actions including the copying of documents and taking of photographs.	Major or critical Reference: 3016
Arrangement compliance	<ul> <li>9.14 A contingency plan must be in place to manage unexpected events that threaten to compromise biosecurity integrity of the approved arrangement site. Unexpected events include:</li> <li>a. appearance of pests or symptoms of disease</li> <li>b. structural damage (due to storms etc.)</li> <li>c. accident during transit</li> <li>d. unauthorised removal of goods subject to biosecurity control</li> <li>e. spillages of goods subject to biosecurity control</li> <li>f. sudden unavailability of an accredited person.</li> </ul>	<ul> <li>a. Major</li> <li>b. Major</li> <li>c. Major</li> <li>d. Major</li> <li>e. Major</li> <li>f. Major</li> <li>Reference: 3009</li> </ul>
Compliance	9.15 Departmental approval must be obtained prior to implementing changes to the location and/or construction of the approved arrangement site or biosecurity area boundaries.	Major Reference: 4024
Notification	<ul> <li>9.16 The department must be notified by email to aa.canberra@agriculture.gov.au of any proposed changes to any of the following:  a. The location and/or construction of any part of the approved arrangement site boundary</li> <li>b. The location and/or construction of any part of a biosecurity area boundary</li> <li>c. The location and/or construction of any part of the boundary of any areas within the approved arrangement site boundary that are under the:  i. occupancy or control, or ii. shared occupancy or control of any other entities (note that this includes subleasing arrangements)</li> <li>d. The identity of any other entities that: i. occupy or control, or ii. share occupancy or control of any areas within the approved arrangement site boundary (note that this includes subleasing arrangements).</li> </ul>	Major or critical Reference: 452:
Arrangement compliance	9.17 The biosecurity industry participant must notify the department, by email to aa.canberra@agriculture.gov.au, within 15 business days of becoming aware of any change of status, not previously been notified to the department, of the biosecurity industry participant or their associates relevant to the operation of the approved arrangement in relation to any of the following matters:  a. conviction of an offence or order to pay a pecuniary penalty under the Biosecurity Act 2015, Quarantine Act 1908, Customs Act 1901, the Criminal Code, or the Crimes Act 1914	Critical Reference: 3012

Class 14.4 Rural tailgate inspections approved arrangement.

Condition	Noncompliance guide
<ul> <li>debt to the Commonwealth that is more than 28 days overdue under the Biosecurity Act 2015, Quarantine Act 1908, Customs Act 1901, the Criminal Code, or the Crimes Act 1914</li> </ul>	
<ul> <li>refusal, involuntary suspension, involuntary revocation/cancelation or involuntary variation of an Import Permit, quarantine approved premises, compliance agreement or approved arrangement under the Quarantine Act 1908 or the Biosecurity Act 2015.</li> </ul>	
<ul> <li>9.18 The biosecurity industry participant must notify the department, by email to aa.canberra@agriculture.gov.au, within 15 business days of any change in: <ul> <li>a. persons in positions responsible for controlling, directing, enforcing, or monitoring people performing biosecurity activities associated with the approved arrangement</li> <li>b. biosecurity industry participant details, including: <ul> <li>i. entity name</li> <li>ii. Australian business number or Australian company number</li> <li>iii. postal address</li> <li>iv. email address</li> </ul> </li> </ul></li></ul>	Major or critical Reference: 3836
	the Biosecurity Act 2015, Quarantine Act 1908, Customs Act 1901, the Criminal Code, or the Crimes Act 1914  c. refusal, involuntary suspension, involuntary revocation/cancelation or involuntary variation of an Import Permit, quarantine approved premises, compliance agreement or approved arrangement under the Quarantine Act 1908 or the Biosecurity Act 2015.  9.18 The biosecurity industry participant must notify the department, by email to aa.canberra@agriculture.gov.au, within 15 business days of any change in:  a. persons in positions responsible for controlling, directing, enforcing, or monitoring people performing biosecurity activities associated with the approved arrangement  b. biosecurity industry participant details, including:     i. entity name     ii. Australian business number or Australian company number     iii. postal address

# Appendix A – class 14.4 overview

