

s 22(1)(a)(ii)

From: Mackett, Joffrid
Sent: Friday, 1 September 2023 8:46 AM
To: McDonald, Andrew
Cc: **s 22(1)(a)(ii)**
Subject: FW: Saudi Arabia - ESCAS Application [SEC=OFFICIAL]

Hi Andrew – no action required, just flagging **s 47G(1)(a)** are progressing their Saudi ESCAS. We will keep you in the loop.

From: **s 22(1)(a)(ii)** <@aff.gov.au>
Sent: Thursday, August 31, 2023 4:05 PM
To: **s 22(1)(a)(ii)** <@aff.gov.au>; Mackett, Joffrid <Joffrid.Mackett@aff.gov.au>
Subject: RE: Saudi Arabia - ESCAS Application [SEC=OFFICIAL]

Thanks **s 22(1)(a)(iii)** – I think we just assess as normal **s 33(a)(iii)** and then we can have a discussion about potential conditions to include on any approval. In the past we have required video evidence of the slaughter process at any abattoirs and a detailed guide of the slaughter process – infrastructure usage, timings, consciousness/death checks, capacity and slaughter rates, etc.

As far as I'm aware there are no consignments on the near horizon although there has been talk of consignments in 2023...

Cheers

s 22(1)(a)(ii)

From: **s 22(1)(a)(ii)** <@aff.gov.au>
Sent: Thursday, August 31, 2023 3:58 PM
To: **s 22(1)(a)(ii)** <@aff.gov.au>; Mackett, Joffrid <Joffrid.Mackett@aff.gov.au>
Subject: FW: Saudi Arabia - ESCAS Application [SEC=OFFICIAL]

FYI.

Let me know what you want me to do re this.

Cheers

s 22(1)(a)(ii)

s 22(1)(a)(ii), s 47(1)(b), s 47F(1), s 47G(1)(a)

s 47(1)(b), s 47F(1), s 47G(1)(a)

LAE ESCAS

From: s 22(1)(a)(ii)
Sent: Wednesday, 20 September 2023 9:45 AM
To: s 22(1)(a)(ii); LAE ESCAS
Subject: FW: Saudi Arabia - ESCAS Application [SEC=OFFICIAL]
Attachments: s 47G(1)(a) 2023 - Control & Traceability Declaration - Saudi Arabia sheep (signed).pdf; s 47G(1)(a) 2023 - Supply Chain Management Plan (sheep) - Saudi Arabia (s 47G(1)(a) V01-2023).pdf; s 47G(1)(a) 2023 - Saudi Arabia - ESCAS Application - Additional information.pdf; Saudi Arabia (2023) - Initial Audit Summary - All Facilities (s 47G(1)(a)pdf

From: s 22(1)(a)(ii) @aff.gov.au>
Sent: Thursday, August 31, 2023 3:58 PM
To: s 22(1)(a)(ii) @aff.gov.au>; Mackett, Joffrid <Joffrid.Mackett@aff.gov.au>
Subject: FW: Saudi Arabia - ESCAS Application [SEC=OFFICIAL]

FYI.

Let me know what you want me to do re this.

Cheers

s 22(1)(a)(ii)

s 22(1)(a)(ii), s 47(1)(b), s 47F(1), s 47G(1)(a)

s 47(1)(b), s 47F(1), s 47G(1)(a)

LAE ESCAS

From: s 47F(1)
Sent: Thursday, 21 September 2023 4:52 PM
To: s 22(1)(a)(ii)
Cc: LAE ESCAS; s 47F(1)
Subject: RE: ESCAS submission - Saudi Arabia supply chain [SEC=OFFICIAL]

All understood s 22(1)(a)(ii) await a positive reply, thankyou.

Regards

s 47F(1)

From: s 22(1)(a)(ii) @aff.gov.au>
Sent: Thursday, September 21, 2023 1:53 PM
To: s 47F(1)
Cc: LAE ESCAS <LAE.ESCAS@aff.gov.au>; s 47F(1)
Subject: RE: ESCAS submission - Saudi Arabia supply chain [SEC=OFFICIAL]

Afternoon s 47F(1)

Firstly, thanks for providing that additional response on 12/9. At this stage I don't expect we will need any further information from s 47G(1)(a) as we have what we need from that response. We're a touch short on staff at the moment but we should be able to complete our assessment and have something back with you by the middle of next week.

Regards

s 22(1)(a)(ii)

s 22(1)(a)(ii)

Director

Regulatory Performance and Compliance | Live Animal Export Branch | Traceability, Plant and Live Animal Exports Division

Phone s 22(1)(a)(ii)

Mobile s 22(1)(a)(ii)

Department of Agriculture, Fisheries and Forestry

Ngunnawal and Ngambri Country

70 Northbourne Ave Canberra ACT 2601 Australia

GPO Box 858 Canberra ACT 2601 Australia

From: s 47F(1)
Sent: Thursday, September 21, 2023 11:41 AM
To: s 22(1)(a)(ii) @aff.gov.au>
Cc: LAE ESCAS <LAE.ESCAS@aff.gov.au>; s 47F(1)
Subject: ESCAS submission - Saudi Arabia supply chain

Good Morning s 22(1)(a)(ii)

Trust your well.

Would like to enquire as to the progress of our ESCAS application for Saudi Arabia please.

Documents supporting the application were sent to the Department on 30/8 and 4/9. A further response was provided 12/9 in answer to queries raised by the Department.

Welcome your earliest advice please.

Regards

s 47F(1)

s 47F(1)

Direct Line: s 47F(1)

Fax No: s 47F(1)

Mobile No: s 47F(1)

Email: s 47F(1)

s 47G(1)(a)

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s 22(1)(a)(ii)

From: s 22(1)(a)(ii)
Sent: Friday, 22 September 2023 12:40 PM
To: s 22(1)(a)(ii)
Subject: FW: ESCAS submission - Saudi Arabia supply chain [SEC=OFFICIAL]

Hi ^{s 22(1)(a)(ii)}

I had a discussion with ^{s 22(1)(a)} yesterday regarding s 47G(1)(a) Saudi SC application – ^{s 22(1)(a)} took a look at the evidence they provided and from the photos, the set up appears to be appropriate. s 47G(1)(a) so ^{s 22(1)(a)} responded below to the update enquiry from ^{s 47F(1)}

A few notes for your reference when you get back on Monday:

s 22(1)(a)(ii), s 47(1)(b), s 47G(1)(a)

Hope that all makes sense and happy to have a meeting with you and ^{s 22(1)(a)} to discuss. The preference is to finalise the approval as soon as possible, on **Monday/Tuesday** if possible.

Cheers 😊
^{s 22(1)(a)(ii)}

From: s 47F(1)
Sent: Thursday, September 21, 2023 4:52 PM
To: s 22(1)(a)(ii) @aff.gov.au>
Cc: LAE ESCAS <LAE.ESCAS@aff.gov.au>; s 47F(1)
Subject: RE: ESCAS submission - Saudi Arabia supply chain [SEC=OFFICIAL]

All understood ^{s 22(1)(a)(ii)} await a positive reply, thankyou.

Regards
^{s 47F(1)}

From: s 22(1)(a)(ii) <[s 22\(1\)\(a\)\(ii\)@aff.gov.au](mailto:s 22(1)(a)(ii)@aff.gov.au)>
Sent: Thursday, September 21, 2023 1:53 PM
To: s 47F(1)
Cc: LAE ESCAS <LAE.ESCAS@aff.gov.au>; s 47F(1)
Subject: RE: ESCAS submission - Saudi Arabia supply chain [SEC=OFFICIAL]

Afternoon s 47F(1)

Firstly, thanks for providing that additional response on 12/9. At this stage I don't expect we will need any further information from s 47G(1)(a) as we have what we need from that response. We're a touch short on staff at the moment but we should be able to complete our assessment and have something back with you by the middle of next week.

Regards

s 22(1)(a)(ii)

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Director

Regulatory Performance and Compliance | Live Animal Export Branch | Traceability, Plant and Live Animal Exports Division

Phone s 22(1)(a)(ii)

Mobile s 22(1)(a)(ii)

Department of Agriculture, Fisheries and Forestry
Ngunnawal and Ngambri Country
70 Northbourne Ave Canberra ACT 2601 Australia
GPO Box 858 Canberra ACT 2601 Australia

From: s 47F(1)
Sent: Thursday, September 21, 2023 11:41 AM
To: s 22(1)(a)(ii) <[s 22\(1\)\(a\)\(ii\)@aff.gov.au](mailto:s 22(1)(a)(ii)@aff.gov.au)>
Cc: LAE ESCAS <LAE.ESCAS@aff.gov.au>; s 47F(1)
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Regards
s 47F(1)

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Direct Line: s 47F(1)
Fax No: s 47F(1)
Mobile No: s 47F(1)
Email: s 47F(1)

s 47G(1)(a)

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s 22(1)(a)(ii)

From: s 22(1)(a)(ii)
Sent: Tuesday, 26 September 2023 4:57 PM
To: s 22(1)(a)(ii)
Subject: RE: s 47G(1)(a) - s 47G(1)(a) - Saudi Arabia - Sheep [SEC=OFFICIAL]

Hi ^{s 22(1)(a)(ii)}

Thanks for sending through 😊

The docs are looking really good – I've just made a few very minor tweaks:

- Changed market in the Minute from Israel to Saudi
- Changed 'OIE' to 'WOAH' in the instrument and spaced out the conditions (so ^{s 22(1)(a)(ii)} signature won't be alone on a separate page)

I just had a few questions regarding the instrument:

- Does the importer address in the instrument need to match the C/T dec? I think currently it matches the IIAR..
- Does the wording of the condition 2.C) need a minor adjustment? Currently the reading is a tad awkward, should it start with **"The exporter must ensure that during each audit..."**

Otherwise everything looks good – thanks so much for putting this together. Happy for it to go to ^{s 22(1)(a)} once you're happy with it!

Cheers,
^{s 22(1)(a)(ii)}

From: s 22(1)(a)(ii) @aff.gov.au>
Sent: Tuesday, September 26, 2023 2:42 PM
To: s 22(1)(a)(ii) @aff.gov.au>
Subject: SCN-238 - s 47G(1)(a) - Saudi Arabia - Sheep [SEC=OFFICIAL]

Hello

Sorry for the delay. Appreciate if you could please QA this new s 47G(1)(a) Saudi SC. 😊

[\\ACT001CL01FS05\AQISData\\$\LAE Division\LAE Regulator\Operations\Livestock\NOI CRMPs\ESCAS - Standalone\s 47G\(1\)\(a\) - s 47G\(1\)\(a\) - Saudi Arabia - Sheep](#)

Please let me know if you have any questions! 😊

Cheers

^{s 22(1)(a)(ii)}

s 22(1)(a)(ii)

From: s 22(1)(a)(ii)
Sent: Wednesday, 27 September 2023 4:09 PM
To: s 22(1)(a)(ii)
Subject: RE: s 47G(1)(a) - s 47G(1)(a) - Saudi Arabia - Sheep [SEC=OFFICIAL]

Hi ^{s 22(1)(a)}

Thanks ^{s 22(1)(a)(ii)}

I've amended the minute and instrument, they're ready for your sign off when you get a chance.

Cheers

^{s 22(1)(a)(ii)}

From: s 22(1)(a)(ii) @aff.gov.au>
Sent: Wednesday, September 27, 2023 3:07 PM
To: s 22(1)(a)(ii) @aff.gov.au>
Subject: RE: s 47G(1)(a) - s 47G(1)(a) - Saudi Arabia - Sheep [SEC=OFFICIAL]

Thanks ^{s 22(1)(a)(ii)} mostly looking good but I've just made some changes to the conditions (see attached).

Can you update the minute and instrument to reflect these conditions? Just need to make sure the references to preceding points are correct – see comments and highlighting in attached.

s 47(1)(b), s 47G(1)(a)

Should be good to go once this is done.

Cheers

^{s 22(1)(a)}

From: s 22(1)(a)(ii) @aff.gov.au>
Sent: Wednesday, September 27, 2023 11:26 AM
To: s 22(1)(a)(ii) @aff.gov.au>
Subject: s 47G(1)(a) - s 47G(1)(a) - Saudi Arabia - Sheep [SEC=OFFICIAL]

Hi ^{s 22(1)(a)}

Would you mind reviewing the new SCN for s 47G(1)(a) – Saudi Arabia – Sheep and approving it once you're happy with it, please?

Here's the link to the J: drive folder:

[\\ACT001CL01FS05\AQISData\\$\LAE Division\LAE Regulator\Operations\Livestock\NOI CRMPs\ESCAS - Standalone\s 47G\(1\)\(a\) - s 47G\(1\)\(a\) - Saudi Arabia - Sheep](#)

Cheers



MINUTE – FOR DECISION

TO s 22(1)(a)(ii) Director, Live Animal Export Branch
FROM s 22(1)(a)(ii) Technical Officer, Live Animal Export Branch
SUBJECT REQUEST FOR APPROVAL OF A PROPOSED EXPORTER SUPPLY CHAIN ASSURANCE SYSTEM

PURPOSE/SUMMARY

To request approval under the *Export Control (Animals) Rules 2021 (Animals Rules)* for an exporter supply chain assurance system (**ESCAS**) in Saudi Arabia for the export of sheep by sea by s 47G(1)(a)

KEY ISSUES

1. The Animals Rules requires the exporter to hold an approved ESCAS covering export operations in relation to the livestock from preparation up until and including the point of slaughter prior to the export by sea of a consignment of feeder or slaughter livestock 6-37 (1).
2. As delegate, you may approve the ESCAS under subsection 6-37(1) of the Animals Rules if you are satisfied that it will ensure that livestock to which it will apply will be transported, handled, slaughtered and subjected to any other related operations in accordance with relevant WOAHP recommendations.¹
3. Under subsection 6-37(3), when deciding whether to approve an ESCAS, you are required to have regard to the matters set out in subparagraphs 6-35(4) of the Animals Rules. You may also have regard to the record of the holder of the licence in relation to compliance with a previous approved ESCAS, compliance with approval conditions, and any other relevant information.
4. Live Animal Export Branch (**LAE**) has assessed the ESCAS submission in accordance with the requirements of the Animals Rules and relevant instructional material. While risks have been identified the conclusion of the assessment is that the ESCAS meets the requirements for approval as outlined in the Animals Rules. See Attachment 1 for the completed assessment checklist.
5. The descriptions, names and addresses of all entities and facilities within the proposed supply chain are documented in the proposed conditions set out in the attached ESCAS approval instrument (Attachment 2).

6. **s 47(1)(b), s 47G(1)(a)**

¹ The relevant WOAHP recommendations are those recommendations and standards set out in Chapter 7 of the *Terrestrial Animal Health Code* (2019) published by the World Organisation for Animal Health.

s 47(1)(b),s 47G(1)(a)

RECOMMENDED ACTION

That you determine, having considered the “Department of Agriculture, Fisheries and Forestry Assessment of Exporter Supply Chain Checklist” (Attachment 1) and had regard to the matters mentioned in paragraph 6-35(4) of the Rules, as well as any other relevant considerations, that you are satisfied that the ESCAS will ensure that livestock to which it applies will be transported, handled, slaughtered and subjected to any other related operations in accordance with relevant WOH recommendations.

Satisfied

Not Satisfied

That you approve the ESCAS in accordance with subsection 6-37(1) of the Animals Rules, subject to the conditions set out in the ESCAS approval instrument at Attachment 2 and sign the ESCAS approval instrument.	<input checked="" type="checkbox"/> Approved <input type="checkbox"/> Not Approved
<p style="font-size: 2em; color: red; margin: 0;">s 22(1)(a)(ii)</p> <p style="margin: 0;">.....</p> <p style="color: red; margin: 0;">s 22(1)(a)(ii) (27 / 9 / 2023)</p>	
<p>Comments</p>	

BACKGROUND

1. Under subsection 6-35(4) of the Animals Rules, an ESCAS application must outline the details of the supply chain that will apply to the proposed export up to and including the point of slaughter. Subsection 6-35(4) provides that it must include details relating to:
 - the kind of livestock to which the ESCAS applies;
 - each port or landing place where the livestock are intended to be unloaded;
 - transport, handling and slaughter of the livestock;
 - feedlots;
 - identification, tracking, accounting and reconciliation of the livestock;
 - independent auditing and reporting in relation to the matters referred to in paragraphs (a), (b), (c), (d), (e) and (h);
 - access to premises where the livestock are to be held;
 - any related operations and facilities.
2. The exporter has provided an ESCAS and accompanying documents and information (an ESCAS submission) as required under the Animals Rules. The ESCAS submission covers the matters set out in subsection 6-35(4) of the Animals Rules, as listed above.
3. Section 6-37 of the Animals Rules relevantly provides:
 - (1) *On receiving an application under section 6-35 to approve a proposed ESCAS, the Secretary must decide:*
 - (a) *to approve the ESCAS; or*
 - (b) *to refuse to approve the ESCAS*
 - (2) *The Secretary must not approve the ESCAS unless the Secretary is satisfied, having regard to any matter that the Secretary considers relevant, that the ESCAS will ensure that livestock to which it will apply will be transported, handled, slaughtered and subjected to any other related operations in accordance with relevant OIE recommendations.*
 - (3) *For the purpose of deciding whether to approve the ESCAS, the Secretary:*
 - (a) *must consider how the ESCAS deals with the matters referred to subsection 6-35(4); and*

(b) may have regard to the record of the holder of the licence in relation to compliance with a previous approved ESCAS.

4. LAE has assessed the ESCAS submission, including an assessment of how the ESCAS submission addresses the matters set out in subsection 6-35(4) of the Animals Rules.
 5. The ESCAS submission includes an independent audit report of the supply chain to which the ESCAS applies. The department's inquiries indicate that the audit company meets the requirements of the regulatory framework. The audit report indicates that the supply chain meets the control, traceability and animal welfare requirements of the ESCAS framework and that transport, handling, slaughter, and other related operations are carried out in accordance with the relevant OIE recommendations.
- 6. Exporter Performance History:**
- No non-compliances have been recorded against this exporter for reports received in the last 12 months.
 - The exporter is not subject to any open ESCAS investigations.
7. The LAE has:
 - a) assessed the ESCAS in accordance with the Animals Rules and instructional material,
 - b) considered the exporter's record in adherence to approved ESCAS and compliance with approval conditions.
 8. It is recommended the approval of the ESCAS be subject to the proposed conditions set out in the attached ESCAS approval instrument. These conditions have been formulated to ensure that the livestock to which the ESCAS will apply will be transported, handled, slaughtered and subjected to any other related operations in accordance with relevant OIE recommendations, and that ongoing auditing and reporting requirements are met (Attachment 2).

CONTACT OFFICER	s 22(1)(a)(ii) Live Animal Export Branch	s 22(1)(a)(ii) 26/09/2023
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Attachment 1 – Completed 'Department of Agriculture, Fisheries and Forestry Assessment of Exporter Supply Chain' checklist

Attachment 2 – ESCAS Approval Instrument

Attachment 3 – ESCAS submission



ESCAS ASSESSMENT CHECKLIST (NEW SUPPLY CHAINS AND VARIATIONS)

SCN:	s 47G(1)(a)	EVN:	EVN- N/A		
Exporter:	s 47G(1)(a)	Market:	Saudi Arabia	Species:	Sheep
Assessed By:	s 22(1)(a)(ii)				
Checked By:	s 22(1)(a)(ii)				

Description of Variation	New supply chain:	
	1 importer:	
	s 47(1)(b),s 47G(1)(a)	Kingdom
	of Saudi Arabia	
	1 feedlot:	
	s 47(1)(b),s 47G(1)(a)	Kingdom of Saudi Arabia
	1 abattoir:	
	s 47(1)(b),s 47G(1)(a)	Kingdom of Saudi Arabia

The purpose of this checklist is to facilitate assessment of documents provided by an exporter as part of an ESCAS submission for feeder and slaughter livestock exports.

ESCAS Variation Administration Checklist (for assessor's use)

NOTE: For detailed instructions on using HPCM9 please refer to the HPCM9 procedural document.

Initial		Initial
Notify exporter	Send email advising the exporter of the EVN number and who the assessor is	
Timesheet	Print timesheet and maintain – submit for invoicing when completed	
Create J-drive folders	Create documents and save related correspondence in the correct J-drive location	
Enter the ESCAS Variation on HPCM9		Initial
Supply Chain record	Make the 'status' of the Supply Chain 'Variation Assessing'	
Enter New Records / Amended Supply Chain Details	Importer(s)	
	Facilities: feedlot(s)/ depot(s)/ agent(s) /customer(s)/ quarantine facilities/ abattoirs	
	Set 'Status' of each facility to 'Variation Assessing' and set 'Status of Submission' field to the correct EVN number.	
	For each facility fill out all required fields. For abattoirs, fill out the restraint box information/ slaughter method/ stunning information, etc.	

	IF COPIED: Check that <u>all</u> fields are correctly updated for the new record, including EVN number and that appropriate fields are cleared (e.g. approval date – follow WI).	
When the Variation is Approved		Initial
Assessment documents	Upload assessment and approval documents into the supply chain container, using correct naming and location conventions and relate to the supply chain record.	
	Check the approval document has been emailed to the exporter.	
Conditions of Approval	Check existing HPCM9 workflows and conditions. These can be closed and/or removed if required (eg. initial discharge audits)	
	Create workflows for any additional ESCAS conditions in next IPAR record(s).	
	Follow up any additional conditions – eg. audits within 30 days of supply.	
All varied or new importers/ facilities	Review and confirm all information is correct on HPCM9 (records are complete and correct, box types, stunning information, etc is correct).	
	Change status of the varied importers/facilities to 'ESCAS Variation Approved' and enter date of approval.	
Supply Chain level	Change status to Variation Approved (DO NOT change 'Original Approval Date').	
Master spreadsheet	Update the ESCAS master spreadsheet and change the status to approved.	

Details of Assessment

Check parties and facilities in the supply chain		Yes / No Reference / Comments
<i>This section to be assessed against information provided by the exporter and should be consistent with the independent audit report.</i>		
1	The exporter has submitted a Control and Traceability Declaration as per EAN 2014-16.	Y
2	Is the Control and Traceability Declaration complete, dated and signed by a person listed in management or control of the livestock export licence?	Y, s 47F(1) 30 August 2023
3	Do the names and addresses listed on the Control and Traceability Declaration match the audit report?	Y

Assessment of Independent Audit Report(s)		Yes / No Reference / Comments
<i>This section to be assessed against initial independent audit reports</i>		
4	The audit report includes information on all relevant aspects of the supply chain for this assessment?	Y
5	The audit report includes the correct supply chain number (SCN).	New supply chain
6	The auditor has accreditation by the IAF (e.g. JAS-ANZ) or equivalent accreditation.	Yes
7	Was the audit checklist provided? If so, for each point on the checklist, has the auditor indicated that the supply chain is compliant or that procedures are in place to achieve compliance <i>Note: as per EAN 2013-13 these checklists are no longer required to be provided.</i>	No

<i>This section refers to slaughter operations. This section to be assessed against initial independent audit reports</i>		
8	<u>For cattle and buffalo only:</u> What type of slaughter equipment (restraining box and stunning equipment) does the abattoir have?	N/A
9	<u>For sheep and goats only:</u> What method of presentation to slaughter and restraint does the abattoir use?	s 47(1)(b),s 47G(1)(a)
10	Will the animals be: - stunned prior to sticking* - stunned post-sticking* - not stunned *If stunning is mandatory, what is the contingency for stunner malfunction or unavailability?	s 47(1)(b),s 47G(1)(a)
11	The auditor has observed the required number of animals be slaughtered as per EAN 2018-01.	Yes
12	The audit report concluded that the slaughter process using the specified restraint box complies with the OIE animal welfare standards.	Yes
<i>The audit report must include a summary with a conclusion from the auditor on each of the following. This section to be assessed against initial independent audit reports</i>		
13	The exporter has arrangements in place for control of the additional facility/facilities.	Yes
14	The independent audit report confirms that the control outcomes can be/were met.	Yes
15	<u>For cattle and buffalo only:</u> The traceability system ensures (or can ensure) that all animals can be individually accounted for at the additional facility/facilities	N/A
16	<u>For sheep and goats only:</u> The accounting system for the new facilities will be able to: · provide evidence accounting for animals throughout the supply chain (including consideration of count discrepancies, non-slaughter mortalities and slaughter of animals) · enable reconciliation of the physical location of livestock throughout the supply chain · provide sufficient detail to demonstrate that livestock movement occurred within the approved supply chain (company name, location address etc)	Yes
17	The independent audit report confirms that traceability can be/was maintained.	Yes

18	The OIE animal welfare standards are met (or could be met) at the additional facility/facilities.	Yes
<i>This section refers to specific supply chain elements. This section to be assessed against initial independent audit report</i>		
19	Discharge from the vessel	Compliant SOPs
20	Transport to the feedlot or abattoir	Compliant SOPs
21	Feedlot / holding facility	Compliant
22	Abattoir	Compliant
23	Findings in the report have been considered and: <ul style="list-style-type: none"> - any risks identified have been listed in the 'risk identified' box below - any relevant risks and conditions have been added to the approval minute and instrument 	See 'Assessment of risks identified' box below
24	Were any non-compliances identified? If so, how have they been addressed?	No non-compliances

Assessment of Performance of Supply Chain		Yes / No Reference / Comments
<i>This section to be completed for supply chains where an independent performance audit report (IPAR) has been received.</i>		
25	Have any non-compliances been recorded that are relevant to this exporter or supply chain (<i>non-compliance records in HPCM9</i>)? If so: <ul style="list-style-type: none"> · any relevant non-compliances have been listed in the 'Assessment if Risks Identified' box below · relevant non-compliances and conditions have been added to the approval minute and instrument 	See 'Assessment of risks identified' box below
26	The latest IPAR assessment checklist* has been reviewed for this supply chain (<i>see IPAR related records</i>) and: <ul style="list-style-type: none"> · findings in the assessment have been considered and relevant risks have been listed in the 'Assessment of Risks Identified' box below · relevant conditions have been added to the approval minute and instrument <p><i>*Note: if an IPAR has been provided but not yet assessed, assess the IPAR prior to the approval of the ESCAS.</i></p>	New supply chain

Assessment of Risks Identified

- No non-compliances recorded against this exporter in the last 12 months.
- The exporter is not subject to any current ESCAS investigations.

Other Activities for Assessors	Yes / No Comments
Update the ESCAS – Risk Based Auditing Spreadsheet	Y

RECOMMENDATION

x	Approval with Conditions – Minute detailing conditions with Instrument attached
	Do not approve – Minute detailing reasons for recommendation attached